PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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	Application Number	Not yet assigned
	First Inventor	Ronald E. Pelrine
	Title	DEVICES AND METHERS FOR CONTROLLING FLUID FLOW USING ELASTIC SHEET DEFLECTION
	Attomey Docket Number	8500-0267

Commissioner for Patents

Box Patent Application

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)
- Applicant claims small entity status. See 37 CFR 1.27.
- Specification [Total Pages 43] (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table. or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
- Oath or Declaration [Total Pages 4] (unexecuted)
 - □ Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
 - **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

- Washington, DC 20231 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable)
 - a.

 Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - CD-ROM or CD-R (2 copies); or
 - ij. paper

ADDRESS TO:

Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- □ Assignment Papers (cover sheet & document(s))
- 10. □ 37 CFR 3.73(b) Statement □ Power of Attorney (when there is an assignee)
- 11.

 English Translation Document (if applicable)
- 12. □ Information Disclosure □ Copies of IDS Statement (IDS)/PTO-1449 Citations
- 13.

 Preliminary Amendment
- 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15. □ Certified Copy of Priority Document(s)
- (if foreign priority is claimed) 16.

 Other:

17.	If a CONTINUING AP	PLICATION, C	neck appropriate box, and sup	oply the requisite information below and in	а
	preliminary amendme	ent, or in an App	olication Data Sheet under 37	CFR 1.76:	
	□ Continuation	□ Divisional	□ Continuation-in-part (CIP)	of prior application No.:	_
	Prior application informatio	n: Exami	iner:	Group / Art Unit:	

□ This application claims priority to Provision Application Serial No. *, filed *.

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an ath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The inc rp ration can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS									
■ Customer Number of						or Correspondence address below			
			2:	3980					
	(Insert Custom	sert Customer No. or Attach bar code label here)							
Name	Louis L. Wu		· 1						
	REED & ASSOC	IATES							
Address	800 Menio Avenu	ue, Suite 210)						
City	Menlo Park		State	CA			Zip Code		94025
Country	USA <i>Telephone</i> (650) 330-09		900	Fax		(650) 330-0980			
Name (Print/Type)	\	Registration No. (Attor			ttorney//	Agent)	44,4	113	
Signature	Zić					Date			m 31,2002
Surden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any pmments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, Box attent Application, Washington, DC 20231.									
4	CER	TIFICATE O	F MAIL	LING OF	TRANSMIS	SION			
hereby certify that this pa 37 CFR § 1.10 on the date	hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to "Commissioner for Patents, Box Patent Application, Washington, DC 20231."								
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Name of Person Mailing Paper or Fee(Print/Type) SAM PEN									
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FEE TRANSMITTAL	App	lication N	ımber		Not Assigned Yet			
ree iransmiiial	Filin	Filing Date			Filed Herewith			
	First	<u> </u>			Ronald E. Pelrine			
		Group Art Unit			Not Assigned Yet			
Note: Effective October 1, 1997.					<u> </u>			
Patent fees are subject to annual revision.	↓				Not Assigned Yet			
TOTAL AMOUNT OF PAYMENT \$1,114.00	Atto	Attorney Docket Number 8500-0267						
METHOD OF PAYMENT (check one) 1 The commissioner is hereby authorized to charge any	3. A	FEE CALCULATION (continued) 3. ADDITIONAL FEES						
additional fees and credit any overpayments to:	3			•				
Deposit Account No.:	Large	•	Small	•				
Deposit Account Name: REED & ASSOCIATES	Fee Code	Fee · (\$)	Fee Code	Fee (\$)	Fee Description Fee Description			
Charge Any Additional Charge the Issue Fee set in	105	130	205	65	Surcharge - late filing fee or oath			
Fee Required Under 37 CFR 1.18 at the mailing		50	227	25	Surphysion Law and the Company of th			
37 CFR 1.16 and 1.17 of the Notice of Allowance	127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
2 Payment Enclosed:	139	130	139	130	Non-English specification			
Check Money Order Other	147	2,520	147	2,520	For filing a request for reexamination			
/ FEE CALCULATION	112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
1. FILING FEE	113	1,840	113	1,840	Requesting publication of SIR after			
I. TIEROTEE		*	115	*	Examiner action			
Large Entity Small Entity	115	110	215	55	Extension for reply within first month			
Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Due	116	400	216	200	Extension for reply within second month			
Tee Buc	117	920	217	460	Extension for reply within third month			
101 740 201 370 Utility filing fee \$370.00 102 330 206 165 Design filing fee	118 128	1,440 1,960	218 228	720 980	Extension for reply within fourth month			
104 510 207 255 Plant filing fee	119	320	219	160	Extension for reply within fifth month Notice of Appeal			
109 740 208 370 Reissue filing fee	120	320	220	160	Filing a brief in support of an appeal			
110 150 214 75 Provisional filing fee	121	280	221	140	Request for oral hearing			
SUBTOTAL (1)	138	1,510	138	1,510	Petition to institute a public use proceeding			
2. CLAIMS Fee from	140	110	240	55	Petition to revive - unavoidable			
Extra below Fee Due	141	1,280	241	640	Petition to revive - unintentional			
Total Claims $70 - 20 = 50 X 9.00 \$450.00	142	1,280	242	640	Utility issue fee (or reissue)			
Independent Claims $10 - 3 = 7 \times 42.00	143	460	243	230	Design issue fee			
Claims 10 - 3 = 7 X \$42.00 \$294.00 Multiple Dependent Claims	144	620	244	310	Plant issue fee			
inditiple Dependent Claims A	122	130	122	130	Petitions to the Commissioner			
Large Entity Small Entity	123	50	123	50	Petitions related to provisional applications			
Fee Fee Fee Fee Description Code (\$) Code (\$)	126	240	126	180	Submissions of Information Disclosure Stmt			
``'	581	40	581	40	Recording each patent assignment per			
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent Claims in excess of 3	146	740	246	270	property (times number of properties)			
102 84 202 42 Independent Claims in excess of 3 104 280 204 140 Multiple Dependent Claim	146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))			
109 84 209 42 Reissue independent claims over	149	740	249	370	For each additional invention to be			
original patent					examined (37 CFR 1.129(b))			
110 18 210 9 Reissue claims in excess of and over	Other fee (specify)							
over original patent	Other	fee (snecify	ee (specify)					
SUBTOTAL (2) \$744.00		*Reduced by Basic Filing Fee Paid			d SUBTOTAL (3) \$			
SUBMITTED BY		-,		, - 3 - 11	Complete (if applicable)			
Typed or Printed Name Louis L. Wu					Reg. Number 44,413			
Signature Z W		Date	An	. 31	Deposit Account			
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